

1 The role of abscisic acid and water stress in root herbivore-induced
2 leaf resistance

3 Matthias Erb¹, Tobias G. Köllner², Jörg Degenhardt², Claudia Zwahlen¹, Bruce E.
4 Hibbard³ and Ted C. J. Turlings^{1*}

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6 ¹ FARCE Laboratory, University of Neuchâtel, Rue Emile-Argand 11, CH-2009
7 Neuchâtel, Switzerland

8 ² Pharmaceutical Biology and Pharmacology, Martin-Luther-University Halle-
9 Wittenberg, Hoher Weg 8, D-06120 Halle, Germany

10 ³ Plant Genetics Research Unit, 205 Curtis Hall, University of Missouri, Columbia MO
11 65211-7020, United States

12
13 *Ted Turlings

14 Institute of Biology

15 University of Neuchâtel

16 Rue Emile-Argand 11

17 CH-2009 Neuchâtel

18 ted.turlings@unine.ch

19 Phone: +41 32 71 83158

20 Fax: +41 32 71 83001

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1 **Summary**

- 2 • Herbivore induced systemic resistance occurs in many plants and is commonly
3 assumed to be adaptive. The mechanisms triggered by leaf-herbivores that lead to
4 systemic resistance are largely understood, but it remains unknown how and why
5 root herbivory increases resistance in leaves.
- 6 • To resolve this, we investigated the mechanism by which the root herbivore
7 *Diabrotica virgifera* induces resistance against lepidopteran herbivores in the
8 leaves of *Zea mays*.
- 9 • *D. virgifera* infested plants suffered less aboveground herbivory in the field and
10 showed reduced growth of *Spodoptera littoralis* caterpillars in the laboratory.
11 Root herbivory did not lead to a jasmonate-dependent response in the leaves, but
12 specifically triggered water loss and abscisic acid (ABA) accumulation. The
13 induction of ABA by itself was partially responsible for the induction of leaf
14 defenses, but not for the resistance against *S. littoralis*. Root-herbivore induced
15 hydraulic changes in the leaves on the other hand were crucial for the increase in
16 insect resistance.
- 17 • We conclude that the induced leaf resistance after root feeding is the result of
18 hydraulic changes, which reduce the quality of the leaves for chewing herbivores.
19 This finding calls into question whether root-herbivore induced leaf-resistance is
20 an evolved response.

21

22 Key-words: Above-belowground interactions, *Zea mays*, *Diabrotica virgifera*,
23 *Spodoptera littoralis*, induced resistance, abscisic acid, water stress

24

1 **Introduction**

2 Many plants increase their resistance systemically upon attack by pathogens and
3 insects. Along with constitutive defenses and tolerance mechanisms, induced resistance
4 can have important consequences for the associated organisms and thus may strongly
5 affect ecosystem dynamics (Johnson *et al.*, 2003; Kaplan *et al.*, 2007; 2008). Most of the
6 mechanisms leading to systemic resistance have been at least partially unravelled. After
7 pathogen attack for example, non-infested leaves become more resistant against other
8 pathogens, a phenomenon termed systemic acquired resistance (SAR). SAR is dependent
9 on the phytohormone salicylic acid (SA) which accumulates both locally and distally
10 upon pathogen infection (Metraux *et al.*, 1990). The search for the systemically
11 translocated signal responsible for SAR has lead to a list of candidates including SA
12 (Malamy *et al.*, 1990), its methylated form methyl salicylate (MeSA) (Park *et al.*, 2007)
13 and jasmonic acid (JA) (Truman *et al.*, 2007). The importance of each of these ubiquitous
14 plant hormones has been questioned (Delaney *et al.*, 1994; Attaran *et al.*, 2009).
15 Recently, azealic acid (AzA) has been implicated in SAR (Jung *et al.*, 2009).

16 Upon mechanical damage or leaf-attack by herbivores, plants activate their
17 defenses in uninfested leaves as well (Oriens, 2005), an effect that is referred to as
18 wound-induced resistance (WIR). The expression of WIR is predominantly regulated by
19 bioactive jasmonates (Howe & Jander, 2008) that accumulate both locally and
20 systemically in response to wounding (Glauser *et al.*, 2008). Although there is increasing
21 evidence for JA as the long-distance signal mediating WIR (Stratmann, 2003), some
22 recent studies suggests that other signals may be involved (Heil & Ton, 2008; Koo *et al.*,
23 2009).

1 Compared to these well described effects, virtually nothing is known about what
2 causes an increase in leaf defense and resistance upon root attack by herbivorous insects
3 and *vice versa* (Bezemer *et al.*, 2003; Wäckers & Bezemer, 2003; van Dam *et al.*, 2005;
4 Erb *et al.*, 2009a; Erb *et al.*, 2009b). Root herbivore induced shoot resistance (RISR)
5 seems to be a common and abundant phenomenon with important consequences for
6 multitrophic interactions and ecosystem dynamics (van der Putten *et al.*, 2001; Bardgett
7 & Wardle, 2003; Soler *et al.*, 2005; Soler *et al.*, 2007; Kaplan *et al.*, 2008). It has been
8 proposed that RISR could be a WIR-like phenomenon extending from the roots to the
9 leaves or a priming effect similar to ISR (Erb *et al.*, 2008). Early work on the impact of
10 root herbivores on shoot resistance also led to the hypothesis that changes in plant water
11 balance may lead to altered performance of aboveground herbivores (Masters *et al.*,
12 1993).

13 While systemic induced resistance in the leaves is commonly thought to be
14 adaptive for the plant (Heidel & Dong, 2006; Walling, 2009), as the same attacker is
15 likely to feed on different leaves over time, the situation is much less clear for RISR.
16 Why would plants increase their leaf- resistance after root attack? Wäckers *et al.* (2003)
17 proposed three explanations for increased shoot defenses upon root herbivory: 1) plant
18 adaptation to an increased likelihood of aboveground herbivory after root attack, 2) root
19 herbivore manipulation to mobilize defenses against competing aboveground herbivores,
20 or 3) increased shoot defenses as a consequence of a plant physiological constraint. So
21 far, none of these hypotheses have been explicitly tested. Particularly the lack of
22 knowledge about the physiological basis of RISR has hampered efforts to elucidate its

1 adaptive value (Wäckers & Bezemer, 2003) and possible ecological importance (Wardle
2 *et al.*, 2004).

3 In maize, RISR has been shown to be effective against both herbivores and
4 pathogens (Erb *et al.*, 2009a). An induction of abscisic acid (ABA) and a reduction of
5 leaf-water contents has been observed in this system (Erb *et al.*, 2009a), leading to the
6 hypothesis that hydraulic changes and/or ABA-signalling might mediate the increase in
7 resistance. However, as for other cases of RISR, the causal factors linking the resistance
8 phenotype to the physiological changes have remained unclear. By altering root-water
9 supply and ABA-biosynthesis, the current study aims at unravelling the relative
10 contribution of ABA and water loss for RISR in maize. Combined with results from
11 behavioural assays and field experiments, the molecular and chemical data presented here
12 show that root-herbivore induced leaf-resistance is mediated by changes in the plant's
13 water balance and therefore may not be an evolved plant defense response.

1 **Methods**

2 *Field experiment*

3 To determine the influence of root infestation on leaf-herbivore resistance in the
4 field, twelve plots (plot dimensions: 9.3x3.7m, 56 plants, two rows) of maize (*Zea mays*;
5 variety Delprim) were sown at the Bradford Research and Extension Center of the
6 University of Missouri, Columbia, USA at the end of May 2008. The plots were
7 interspersed with different commercial varieties that were arranged in a randomized
8 complete block design (Zwahlen *et al.*, unpublished). Two weeks after planting, eight
9 plots were infested with *D. virgifera* by applying 11'800 eggs over a distance of 3.6m to
10 one row per plot. Taking into account a viability of 75%, this equaled about 400 viable
11 eggs/ plant, with 22 infested plants per plot. Four plots were left root-herbivore free. The
12 root herbivore density used is within the natural range of infestation (Pierce and Gray,
13 2006). At the beginning of July, one month after application of the eggs when the *D.*
14 *virgifera* larvae had reached their second instar and maize plants had 6-7 fully developed
15 leaves (growth stage V8), the plants were sampled for aboveground herbivore damage.
16 All the normally developed, *D. virgifera*-infested plants and corresponding controls were
17 examined. The number of damaged leaves was noted, as well as the number of the
18 longitudinal- and shotgun-shaped holes. A leaf was considered damaged when clear
19 surface removal by herbivores was visible. Small white traces caused by flea beetles and
20 thrips were not taken into account. Encountered herbivores were photographed or
21 conserved in alcohol for later identification. For statistical analysis, data from all plants
22 within one plot were pooled and treated as one independent replicate.

23

Laboratory experiments- plants and insects

To further investigate the mechanism underlying root herbivore induced changes in leaf-resistance, additional experiments were carried out in the laboratory. Maize plants were grown in bottom-pierced, aluminium-wrapped plastic pots (diameter, 4cm; depth, 11cm) in a phytotron ($23\pm 1^{\circ}\text{C}$, 60% r.h., 16:8 hr L/D, and $50,000\text{ lm/m}^2$). Before planting, the seeds were rinsed with water to remove any storage residuals and, unless mentioned otherwise, sown in sand (lower 8 cm) topped with commercial potting soil (upper 3 cm, Ricoter Aussaaterde, Aarberg, Switzerland). Plants used for experiments had two fully expanded primary leaves and were 9-10 days old. Plants were watered with 10ml of tap water every day until the beginning of the experiments. All experiments were carried out under light benches in a climatized laboratory ($25\pm 2^{\circ}\text{C}$, $40\pm 10\%$ r.h., 16:8 hr L/D, and 8000 lm/m^2). *S. littoralis* eggs were provided by Syngenta (Stein, Switzerland) and larvae were reared on artificial diet as described (Turlings *et al.*, 2004). *D. virgifera* eggs and larvae were obtained from CABI Delémont (Switzerland) and from the USDA-ARS-NCARL Brookings (US) and kept on freshly germinated maize seedlings until use.

Leaf-herbivore performance experiments

To determine the dynamics of *D. virgifera*-induced changes in leaf-herbivore resistance, we measured the growth, survival and leaf-consumption of *S. littoralis* caterpillars in three independent experiments. For the experiments, maize plants were either left uninfested (controls) or were infested with 6 L2 *D. virgifera* larvae by placing them on the soil with a fine brush ($n=15$). The root herbivores were then left to feed on the roots for 48 h, after which individual 2nd instar *S. littoralis* larvae were placed on the

1 second true leaf of the plants using clip-cages. Clip cages consisted of two black lids held
2 together with a rubber band. Fine metal screens on both sides ensured air supply to the
3 cages. The *S. littoralis* larvae were weighed and put into the cages, and the cages were
4 then gently slid over one half of the maize leaves, exposing about 0.5cm² of tissue to each
5 larva. The caterpillars were reweighed with a microbalance after 6, 12 and 24 h of
6 feeding, and the cages were moved to a different position on the leaves after 6 and 12 h
7 of feeding to ensure ample food supply. After 24 h, the caterpillars were directly placed
8 on the plant to feed freely for the rest of the experiment. To stop the larvae from
9 escaping, PET-tubes (30cm height, conal shape, top-diameter: 8cm) were put over the
10 plants and attached to the pots with parafilm. They were covered by a fine nylon mesh
11 (0.3mm diameter) on top.

12 The experiment was repeated a second time without weighing the larvae (n=15).
13 Only the survival of the larvae was recorded daily in order to obtain a sufficient number
14 of total replicates for the analysis of survival curves. In an additional independent
15 experiment, we analyzed the first 6 h of *S. littoralis* feeding in more detail by recording
16 both larval growth and leaf-consumption (n=30). The procedure was as described above,
17 but the caterpillars were weighed, left on the plants for 6 h, reweighed and removed. The
18 leaves were then scanned, and the consumed leaf-area was determined using Photoshop.

20 ***Alteration of root water supply***

21 Root herbivory by *D. virgifera* is known to influence the water status of plants
22 both in the field and the laboratory (Godfrey *et al.*, 1993; Riedell & Reese, 1999 ; Erb *et*
23 *al.*, 2009a). To investigate the contribution of water supply on root-herbivore induced

leaf-resistance, we subjected maize seedlings to different water regimes and measured leaf-water contents and growth of *S. littoralis* larvae. For this experiment, maize seedlings were either left root-herbivore free or were infested with *D. virgifera* as described above (n=24). Infested and uninfested plants were then divided into three watering regimes: One third of the plants received no water over the 48h of root-herbivore infestation. This resulted in a gradual drying of the soil. No phenotypical changes in the leaves were observed, indicating only mild water limitation. Another third of the plants received normal watering (10ml/day), and one third was supplied with water *ad libitum* by placing the pots in a tray with a shallow layer of water at the bottom. The water was taken up to saturation through the bottom holes in the pot, resulting in constantly elevated soil humidity. All the plants grew normally in this case as well. After 48 h, *S. littoralis* growth was measured for the 6 treatment combinations over 6 h of feeding as described above. Leaves were then harvested and weighed immediately to determine their fresh weight (FW). Dry weight (DW) was determined after drying them for 48 h at 80°, and relative water contents (RWC) were determined using the formula $RWC = 100 - (FW - DW / FW * 100)$. Constant turgid weight was used in the calculations, as the measured leaves were of equal growth stage and quality in the different treatments. Roots were washed, harvested and their DW was determined as described.

Influence of root-feeding location

Because *D. virgifera* larvae were often observed to feed on the hypocotyl and just below on the primary roots of maize seedlings, we tested the effect of this behaviour on root herbivore growth and leaf-resistance. To be able to confine *D. virgifera* to different

1 parts of the belowground tissues, we used fine nylon screens (mesh size: 0.3mm). Roots
2 of maize plants penetrated the nets easily, as the fine root tips could grow through and
3 could then stretch and expand the mesh as they thickened. The belowground herbivores
4 on the other hand, at least at the L2 larval stage used here, were not able to move through
5 the screen. Three experiments were performed using this method: In the first experiment,
6 a small PVC tube (2cm diameter, 4cm height) was covered at the bottom with the nylon
7 mesh. The tube was then placed in a planting tube filled up to 7 cm with potting soil.
8 After having added another 2cm of potting soil to the small PVC-tube itself, the maize
9 seeds were planted into the tube and covered again with soil. Like this, the plants
10 developed their top root system within the PCV-tube, while the rest of the root system
11 grew through the nylon mesh into the normal planting pot. In a second setup, we aimed at
12 controlling for possible size- and root density effects that may have arisen from the
13 different size of the compartments. To do so, a much bigger PVC-tube (diameter 3.8cm,
14 height 10cm) was covered with a nylon mesh at the bottom, filled with soil, and slid into
15 the planting pots to a depth of 9 cm. This created a bottom root-compartment of 2cm
16 (equally filled with soil), into which the roots grew down. For both setups, individual *D.*
17 *virgifera* larvae were weighed and added to the different root compartments by either
18 putting them on the top of the soil of the PCV tubes (allowing them to feed only on the
19 upper root part) or by carefully introducing them to the bottom of the root system through
20 the holes in the plastic pot that were closed with aluminium foil afterwards (giving the
21 larvae access only to the lower compartments; n=24). After 7 days, the pots were emptied
22 and the larvae retrieved and weighed again. For the third experiment, the small PCV-tube
23 system was used again. The maize plants were infested with 6 2nd instar *D. virgifera*

1 larvae released either in the top or the bottom compartment and left to feed for 48 h.
2 Control plants were left uninfested. All plants received 10ml of water per day (n=24).
3 The growth of *S. littoralis* larvae as well as the RWC were then determined as described
4 above.

6 ***Leaf-hormones and defense marker genes***

7 To measure the effect of root herbivory on leaf-hormones and defense-marker
8 genes, we carried out 3 independent experiments. In a first experiment, we infested
9 normally watered maize plants with 6 L2 *D. virgifera* larvae over a period of 48h.
10 Control plants were left root-herbivore free. Plants were harvested and immediately
11 frozen in liquid nitrogen and ground to a fine powder. Leaves of 6 plants were pooled to
12 obtain enough plant material for both hormone- and gene expression analysis. In total,
13 nine independent pools of 6 plants were analyzed (n=6x9). For the hormone analysis, an
14 aliquot of 150 mg per sample was transferred to FastPrep tubes and mixed with 1 ml
15 ethylacetate containing 200ng of D₆-ABA, D₂-JA, D₄-SA and ¹³C₆-JA-Ile as internal
16 standards. The mixture was homogenized and centrifuged before transferring the
17 supernatant to a 2 ml Eppendorf tube. After repeating the extraction procedure and
18 combining the supernatants, the solvent was evaporated in a vacuum concentrator and the
19 pellet redissolved in 70% MeOH. Ten µl of each sample were then injected into an
20 HPLC-MS equipped with a ProntoSIL C18 Column. The 1200L LC/MS system (Varian,
21 Palo Alto, CA, USA) was operated at a flow rate of 0.1 ml/min. A mobile phase
22 composed of solvent A (0.05% formic acid) and solvent B (0.05% formic acid in
23 acetonitrile) was used in gradient mode for separation. The compounds were detected in

1 the ESI negative mode. Molecular ions (M–H) with m/z 137, 209, 263 and 322 for SA,
2 JA, ABA and JA-Ile and 141, 213, 269 and 328 for the respective internal standards were
3 fragmented and daughter ions 93, 59 153 and 130 (compounds) and 97, 59, 159 and 136
4 (internal standards) were recorded for quantification. Collision energy was 15V for SA,
5 12V for JA, 9V for ABA and 19V for JA-Ile.

6 For gene expression analysis, total RNA was extracted from the same leaf-pools
7 (n=6x9) using Quiagen RNA-Easy extraction kits following the manufacturer's
8 instructions. The quality of the RNA was assessed by photometry and gel electrophoresis.
9 To remove contaminant genomic DNA, all samples were treated with Ambion DNase
10 following the manufacturer's protocol. cDNA was then synthesized using Invitrogen
11 Super-Script III reverse transcriptase according to the manufacturer's instructions.
12 Quantitative reverse transcriptase real time polymerase chain reactions (q-PCR) were
13 carried out using gene-specific primers (Erb *et al.*, 2009a). The q-PCR mix consisted of
14 5ul Quantace Sensimix containing Sybr Green I, 3.4ul H₂O, 100nmol of each primer
15 (2x0.3ul H₂O) and 1ul of cDNA sample. Q-PCR was carried out using 45 cycles with the
16 following temperature curve: 10s 95°C, 20s 60°, 15s 72°. The final melt curve was
17 obtained by ramping from 68 to 98°C in 1°C steps every 5s. To determine primer
18 efficiencies and optimal quantification thresholds, a dilution series of a cDNA mix
19 consisting of 4ul solution from every sample was created. Six 10-fold dilution steps were
20 carried out and the standard curve was included into every q-PCR run. The final obtained
21 Ct values (using the automated threshold determination feature of the Rotor-Gene 6000
22 software) were corrected for the housekeeping gene GapC (Frey *et al.*, 2000) and
23 normalized to control levels to obtain average fold changes of treated plants.

1 In two additional independent experiments, plants were subjected to different
2 water regimes (drench or drought treatment, as described above) and either infested with
3 6 *D. virgifera* larvae or left uninfested. After 48 h of infestation, individual plants
4 belonging to one of the 4 treatments were harvested and used for hormonal analysis
5 (n=12) or gene expression measurements (independent experiment; n=9) as described
6 above.

8 ***Total nitrogen and free amino acids***

9 Because earlier studies have indicated that root-herbivore attack may alter leaf-
10 nitrogen concentrations (Gange & Brown, 1989), we measured total carbon and nitrogen
11 contents and free amino acid concentrations of *D. virgifera* infested and uninfested
12 plants. To determine C/N ratios, we used the dried plant material from the short-term *S.*
13 *littoralis* performance experiment (6h of infestation; n=30) described above. The dried
14 shoots were ground to a fine powder using a ball mill, and total carbon (C) as well as
15 total nitrogen (N) were determined from 2-3 mg/ sample using an elemental analyzer.
16 Free amino acid concentrations were measured in an independent experiment. For this,
17 plants were subjected to two watering regimes (drench or drought treatment, as described
18 above) and either infested with *D. virgifera* or left uninfested (n=9). Leaves were then
19 harvested, immediately frozen in liquid nitrogen and freeze-dried. The analysis was then
20 carried out following the procedure described in Knill *et al.* (2008).

22 ***Genetic and chemical inhibition of ABA biosynthesis***

1 To test whether the observed increase in defense marker gene expression and
2 resistance against *S. littoralis* in the leaves after root herbivore attack is dependent on
3 ABA, we used two approaches: First, transgenic maize lines expressing *Zm-nced(vp14)*
4 (the main regulatory gene in ABA biosynthesis) in antisense direction were compared
5 with wild type plants. The antisense lines have been characterized before and are known
6 to have reduced ABA contents and inducibility without showing the strong phenotypic
7 changes of *vp14* mutants (Voisin *et al.*, 2006). Because in the previous experiments, *Zm-*
8 *nced(vp14)* was only induced when water supply was limiting (see results), the
9 experiments were carried out under drought conditions (n=8; as described above). For the
10 gene expression experiment, two independently transformed lines were planted and
11 infested with 6 L2 *D. virgifera* larvae for 48 h. The leaves were then harvested and
12 immediately frozen in liquid nitrogen. Genotyping of the transgenic lines was carried out
13 using the procedure described previously (Voisin *et al.*, 2006). Gene expression analysis
14 was carried out as described above. For statistics, the two transformed lines were pooled
15 (resulting in 4 treatment groups: Controls of wild type plants, controls of antisense plants,
16 *D. virgifera* infested wildtype plants and *D. virgifera* infested antisense plants). In an
17 independent experiment, wild type and antisense plants were treated as described above
18 (n=24), but were used to measure *S. littoralis* growth 6h and 12h after infestation using
19 clip-cages as described. Leaves were harvested and genotyped after the performance
20 experiment.

21 In a second approach, we treated maize seedlings with 10mM of the ABA
22 inhibitor sodium tungstate (Fonseca *et al.*, 2005) (n=24). This concentration had first
23 been determined to cause no major phenotypical changes in maize leaves under well-

1 watered conditions and in preliminary experiments, concentrations of up to 100mM
2 sodium tungstate did not have any impact on *D. virgifera* performance or mortality over a
3 feeding period of 48 h (M. Erb, unpublished). Because the inhibited plants were much
4 more susceptible to water-stress induced wilting, plants were well watered (10ml/day) for
5 this experiment. *S. littoralis* growth was then measured over 6h of feeding as described,
6 and leaves were then harvested to determine their RWC.

8 ***Statistical procedures***

9 Differences in survival of *S. littoralis* were tested using Kaplan-Meier's Survival
10 Analysis of Log-Ranks. Analysis of variance (ANOVA) was carried out on the rest of the
11 experiments. For pairwise comparisons, the Student's t-Test was used. For experiments
12 involving one or two classes of factors, one-way and two-way ANOVAs followed by
13 Holm-Sidak Post-Hoc tests were applied. Normality and equality of variance was verified
14 using Kolmogorov-Smirnov and Levene's tests, respectively. Data that did not pass these
15 tests were transformed ($\log_{10}+1$ or square-root). Where transformation did not resolve
16 normality or equality of variance, non-parametric tests (ANOVA on ranks, Mann-
17 Whitney rank sum test) were used.

1 **Results**

2 ***Root herbivory by D. virgifera increases leaf-resistance in the field and the laboratory***

3 Maize plants in the field showed typical traces of first and second instar *Ostrinia*
4 *nubilalis* feeding as well as damage caused by *Spodoptera frugiperda* and other
5 lepidopteran larvae. “Shotgun-like” holes were also found frequently, which can be
6 caused by several herbivores including *O. nubilalis* and *Sphenophorus maidis*. *D.*
7 *virgifera* infestation of the roots caused a reduction of leaf surface damage by almost
8 50% (Student’s T-test: $p=0.033$; Fig. 1a). This difference was also reflected in a
9 significant reduction of the number of longitudinal feeding traces on leaves (Student’s T-
10 test: $p=0.021$; Fig. 1b). Natural infestation by *D. virgifera* does not normally occur in the
11 area where the experiments were conducted (no *D. virgifera* adults were found to emerge
12 from the control plots at a later stage of the experiment), and occurrence of other
13 *Diabrotica* species was rare (C. Zwahlen, unpublished).

14 In the laboratory, similar effects of *D. virgifera* on leaf-herbivore performance
15 could be observed: Fig. 1c shows the average cumulative growth of the larvae ($n=15$).
16 Root infestation affected caterpillar growth significantly (ANOVA: $p=0.0196$), and pair-
17 wise comparisons showed significantly lower larval weights at time-points 6h, 12h and
18 24h (Holm-Sidak Post-Hoc Test: $p<0.05$). This trend persisted over the whole
19 observation period (Fig. 1c). Over two experimental runs, 25% of the larvae reached the
20 pupal stage, of which 73% had been feeding on plants without the root herbivore ($n=30$;
21 Fig. 1d). The relatively low number of pupating larvae may have been the result of the
22 high susceptibility of *S. littoralis* to maize defenses. Furthermore, the frequent handling
23 during the weighing process may have weakened the larvae. The obtained survival curves

1 showed a significant difference between the treatments, with caterpillars on *D. virgifera*
2 infested plants having a reduced chance of reaching the pupal stage (Log-Rank Test:
3 $p=0.036$). An independent experiment confirmed that caterpillar growth after 6h of
4 feeding was reduced on plants with *D. virgifera*-infested roots (Student's T-test: $p=0.037$;
5 Fig. S1a), an effect that was also reflected in a reduction in leaf surface damage
6 (Student's t-test: $p=0.046$; Fig. S1b).

8 ***Changes in leaf water contents are required for the increase in resistance***

9 To investigate whether the hydraulic changes imposed by the root herbivore
10 influence the systemic resistance, we subjected maize seedlings to different water
11 regimes and measured leaf-water contents and growth of *S. littoralis* larvae on plants with
12 and without *D. virgifera* infestation. *S. littoralis* growth was most strongly reduced on *D.*
13 *virgifera*-infested plants with low water supply (Holm-Sidak Post-Hoc Test: $p<0.001$,
14 Fig. 2a). A negative trend was still visible for normally watered plants (Holm-Sidak Post-
15 Hoc Test: $p=0.070$), while no effect was observed under the high water regime. *D.*
16 *virgifera* reduced leaf-water contents under medium and low water supply ($p<0.001$),
17 while it had no significant impact on water contents under high water supply (Fig. 2b).
18 The reduction of RWC by around 3% resulted in visible wilting symptoms, indicating
19 that the *D. virgifera* infested plants were indeed water stressed under low water supply.
20 Analysis of root dry weights showed that *D. virgifera* significantly reduced root biomass
21 of maize seedlings (ANOVA: $p=0.005$), but the imposed water regime had no effect on
22 root biomass and the extent of root removal by the larvae (ANOVA: $p=0.890$; Fig. S2).

We also tested if the exact location where *D. virgifera* feeds is important for its development and induced leaf resistance. *D. virgifera* larvae confined to the top 2 cm of the rhizosphere grew significantly more over a period of 7 days than larvae excluded from this part of the rhizosphere (Student's T-Test: $p=0.046$, Fig. S3a). Equally, when confined to the lowest 2 cm or the upper part of the roots, larvae feeding on the upper part grew significantly larger (Student's T-Test: $p<0.001$, Fig. S3b). *D. virgifera* only affected *S. littoralis* growth when they were feeding on the top 2 cm of the root system (Holm-Sidak Post-Hoc Test: $p=0.003$, Fig. 2c). Similarly, shoot water contents were significantly reduced when *D. virgifera* fed on the upper root system and hypocotyl (Dunn's Post-Hoc Test: $p<0.05$, Fig. 2d), while only a trend remained when the larvae fed on the lower parts.

Water supply determines induction of abscisic acid, defense markers and free amino acids in the leaves

Under normal watering conditions, *D. virgifera* attack by 6 L2 larvae over a period of 4 days results in an increase of leaf-ABA levels and expression of defense marker genes (Erb *et al.*, 2009a). Here we confirm these results and show that the effect occurs already after 48 h of infestation (Fig. S4). Of the measured phytohormones (JA, JA-Ile, SA and ABA), only ABA increased in concentration in the leaves after root herbivore attack (Fig. S4 a-d; Mann-Whitney rank sum test ABA: $p>0.05$). *D. virgifera* furthermore induced several defense markers (Student's T-test: $p>0.05$) including two pathogenesis related genes, *Zm-pr1* and *Zm-pr5*, (Morris *et al.*, 1998), three proteinase inhibitors, *Zm-cysII*, *Zm-serpin*, *Zm-cyst* (Ton *et al.*, 2007), and the regulatory gene for

1 hydroxamic acid biosynthesis *Zm-bx1* (Frey *et al.*, 1997) (Fig. S4e; Erb *et al.*, 2009a).
2 The hormonal measurements show that JA, JA-Ile and SA concentrations were neither
3 affected by the root herbivore, nor by the plant water status (Two-way ANOVAs; Fig. 3
4 a-c). ABA on the other hand was affected by both water status (ANOVA: $p=0.036$) and
5 *D. virgifera* feeding (ANOVA: $p=0.012$) and there was a strong interaction between the
6 two stresses (ANOVA: $p=0.032$): ABA was most strongly induced by *D. virgifera* when
7 the plants were not watered over the 48 h of infestation. Average concentrations
8 increased to 160 ng/g fresh weight (FW) under this condition, which is about 40 times the
9 concentration of control plants. Interestingly, this effect was almost completely absent
10 under excess water supply (Fig. 3d). ABA levels were only weakly elevated in the
11 unwatered controls, indicating that the watering regime by itself did not heavily stress the
12 plants. The systemic induction of defense markers by *D. virgifera* was affected by the
13 plant's water supply: *Zm-pr10*, *Zm-serpin* and *Zm-bx1* were more strongly induced under
14 water limiting conditions (ANOVA $p<0.05$). *Zm-cysII* was more responsive when the
15 plants were well watered, while the induction of *Zm-pr1*, *Zm-pr5* and *Zm-cyst* was not
16 influenced by the plant's water status (Fig. 3e). The most pronounced reaction was
17 measured for the gene that regulates ABA biosynthesis in maize: *Zm-nced(vp14)*. In
18 accordance with ABA content measurements (Fig. 3d), *Zm-nced(vp14)* was induced by
19 *D. virgifera* much more strongly when the plants were water stressed (Fig. 3e).

20 The C/N analyses showed no difference between the treatments (T-test: $p>0.05$;
21 Fig. 4a). Free amino acid (AS) patterns were unchanged under high water supply. On the
22 other hand, several of the measured AS increased in concentration when the plants were

infested by *D. virgifera* under low water supply (Two-way ANOVAs; interaction herbivory*water: $p<0.05$; Fig. 4b).

ABA affects the induction of defense markers, but not induced resistance

The transcriptional profiling confirmed that *Zm-nced(vp14)* was suppressed in the antisense lines, whereas it was induced after root attack in the wildtype plants (Fig. 5a). The marker genes *Zm-cysII*, *Zm-cyst* and *Zm-bx1* were not induced by *D. virgifera* in the antisense plants (Two-way ANOVAs: Genotype*Treatment interaction, $p<0.05$). Other genes, including *Zm-pr10* and *Zm-serpin* were induced similarly in the transgenic and control plants (Fig. 5a). Root removal by *D. virgifera* on antisense plants was the same as for wild type plants (Fig. S5) and induced shoot resistance against *S. littoralis* (reduced growth) was similar for both plant types after 6h and 12h of feeding (Figs. 5 b-c). These results imply that the induction of *Zm-nced(vp14)* upon root herbivore attack under water limiting conditions was not responsible for the observed increase in resistance.

This was also confirmed by the experiment involving chemical inhibition of ABA biosynthesis. Interestingly, while control plants showed no or minor wilting symptoms upon inhibitor treatment, plants infested with *D. virgifera* exhibited a strong wilting phenotype, with all leaves curling and losing their capacity to remain upright. This observation was reflected in a Two-Way-ANOVA of relative water contents showing significant effects of *D. virgifera* and sodium tungstate as well as an interaction (ANOVA: $p=0.034$). As shown in Fig. S6a, *D. virgifera* infested plants suffered much more from water stress when treated with the ABA inhibitor. *D. virgifera* feeding again reduced growth of *S. littoralis* (ANOVA: $p=0.010$), the effect being even more

1 pronounced in ABA inhibited plants (Holm-Sidak Post-Hoc Test: $p=0.004$) than in
2 untreated plants, where only a trend was visible in this assay (Fig. S6b).

3

Discussion

Our results reveal different mechanisms that lead to systemic changes in aboveground tissues upon belowground herbivory. First, *D. virgifera* larvae induce defenses aboveground independently of the plant's water status. This is illustrated in Fig. 3e, which shows that several defense marker genes including the serine protease *Zm-serpin* and the pathogenesis related genes *Zm-pr1* and *Zm-pr5* are induced under high as well as low water supply. Second, water supply can be an important factor influencing the induction of leaf-defense by *D. virgifera*. This involves the upregulation of ABA (Fig. 3d) and increased expression of a number of marker genes including the regulatory gene for ABA biosynthesis, *Zm-nced(vp14)* (Tan *et al.*, 1997) and *Zm-bx1* (Fig. 3e), which codes for a gene implicated in the biosynthesis of 2,4-dihydroxy-7-methoxy-1,4-benzoxazin-3-one (DIMBOA), a well-known antifeedant of maize (Frey *et al.*, 1997). Changes in free amino acids were also dependent on the plant's water status (Fig. 4b). Third, several, but not all of the *D. virgifera*-induced marker genes seem to be dependent on water-stress induced ABA. The induction of *Zm-bx1* for example is absent in *Zm-nced(vp14)* antisense plants (Fig. 5a). This fits well with earlier findings showing that DIMBOA is induced by *D. virgifera* and application of exogenous ABA (Erb *et al.*, 2009a; Erb *et al.*, 2009c). Interestingly, the expression of two putative cystatin protease genes, *Zm-cyst* and *Zm-cysII*, was reduced in the antisense plants (Fig. 5a), but not specifically induced under water stress (Fig. 3e). This suggests that they are positively regulated by ABA, but suppressed by an additional signal that is specifically present under water stress conditions. A number of genes including *Zm-pr1*, *Zm-pr5* and *Zm-pal* seem to follow this same pattern (Fig. 5a). Overall, our experiments demonstrate the

1 important, but not exclusive role of water stress and ABA-signalling for *D. virgifera*
2 induced changes in leaf-defense.

3 The increase in resistance against *S. littoralis* was closely related to the changes in
4 relative leaf water contents after root herbivory, as is evident from Fig. 2, where it is
5 shown that the weight gain of the larvae is considerably reduced when *D. virgifera* has a
6 strong negative impact on the water supply of the maize plant. As the induction of ABA
7 and ABA-dependent defenses is most pronounced under water limiting conditions (Fig.
8 3d-e), ABA was expected to be responsible for the increased resistance. Evidence for its
9 role comes for example from research on *A. thaliana*, for which it has been found that
10 ABA-deficient mutants are highly susceptible to *S. littoralis* (Bodenhausen & Reymond,
11 2007). Yet, our results strongly suggest that ABA is not required for root herbivore
12 induced shoot resistance in maize. This is most evident from the fact that the induction of
13 resistance by *D. virgifera* also occurred after genetic or chemical inhibition of ABA-
14 signalling (Fig. 5b-c and S6). We therefore postulate that ABA-independent hydraulic
15 changes are the causal factor in *D. virgifera* induced shoot resistance in maize. The upset
16 water balance causes reduced leaf-turgor, which may directly impair feeding by *S.*
17 *littoralis* larvae: The larvae normally display so called “windowpane-feeding”, where the
18 epidermis of only one side of the leaf is ingested together with the inner parenchyma
19 tissue. This enables the herbivore to gain access to the easily digestible inner cell layers,
20 while avoiding the tough cuticle and epidermal layers of the other leaf-side. Our
21 experiments show that under heavy leaf-water stress caused by *D. virgifera*, this feeding
22 strategy is no longer possible and *S. littoralis* larvae have to ingest both epidermal layers
23 and cuticles. This effect is independent of ABA signalling, as it can be observed in both

1 wildtype and ABA-impaired plants (M. Erb, personal observations). Apart from such
2 mechanical effects, the experiments also demonstrate that certain defense markers like
3 *Zm-pr10* are induced by *D. virgifera* imposed water stress in an ABA-independent
4 manner (Fig. 3e and 5a). Some defenses are thus specifically responsive to ABA-
5 independent hydraulic changes. *S. littoralis* may be particularly sensitive to these
6 effectors, and further research could aim at characterizing them in more detail.

7 The finding that hydraulic changes are responsible for the increase in leaf
8 resistance is of potential importance for a variety of induced resistance phenomena.
9 Numerous root herbivores change the water balance of aboveground plant parts (Gange
10 & Brown, 1989; Murray & Clements, 1998; Blossey & Hunt-Joshi, 2003; Staley *et al.*,
11 2008), and the involvement of water stress in changes in aboveground resistance has been
12 proposed in early models of above-belowground interactions (Masters *et al.*, 1993).
13 Depending on the feeding strategy of the leaf herbivore, such changes can either increase
14 or decrease plant resistance. Phloem feeding aphids for example may benefit from the
15 increased AS concentrations in leaves under water stress (Fig. 4b), whereas chewing
16 herbivores are negatively affected by the increased defenses (Huberty & Denno, 2004).

17 Our experiments also suggest that studies conducted in the laboratory or the
18 greenhouse may underestimate the systemic effects of insect infestation, as such effects
19 may depend on slight changes in abiotic factors like water supply. In nature, plants are
20 continuously exposed to various mild stress events, and our data clearly suggest that these
21 fluctuations should be taken into account when looking at induced resistance phenomena.
22 Highly sensitive methods that capture the plant's water status beyond relative water

1 contents may contribute to unravel the importance of hydraulic conductivity in induced
2 resistance in more detail.

3 The adaptive value of root herbivore induced shoot resistance has remained
4 unresolved (Wäckers & Bezemer, 2003). The current study favours the hypothesis that
5 RISR may be the result of a plant physiological constraint. The later larval stages of *D.*
6 *virgifera* larvae often attack the upper root system (Strnad & Bergman, 1987; Hibbard *et*
7 *al.*, 2008), which we found to be the site where the larvae develop much better (Fig. S3).
8 For the plant, this feeding behaviour poses a significant threat to its water supply (Fig.
9 2b), especially at early developmental stages of the seedling, when the root system relies
10 on few connective elements. The increase in ABA biosynthesis following belowground
11 attack seems to be a tolerance response of the plant to reduce the negative effects of water
12 loss. Under conditions where the metabolic and physiological changes are not sufficient,
13 water concentrations in the shoot decrease nevertheless (Fig. 2b), sometimes even to a
14 point where acute wilting occurs. It is under these circumstances that the aboveground
15 herbivore *S. littoralis* is most negatively affected (Fig. 2a and 2c). This phenomenon is
16 unlikely to be adaptive for the plant, as a loss of leaf-turgor to increase shoot resistance is
17 a very unlikely defense strategy for an organism that heavily depends on an effective
18 water supply for growth and survival. Interestingly, the root herbivore *D. virgifera* seems
19 to benefit from feeding on the most vulnerable part of the root system (Fig. S3a and b).
20 Whether this is only due to better access to leaf-assimilates or if changes in the plant's
21 water balance are advantageous for *D. virgifera per se* remains to be determined. It is
22 known that plants under water stress increase their investment in root growth (Reid &
23 Renquist, 1997), and it is possible that *D. virgifera* directly profits from this. Another

1 exciting option that deserves further attention is a possible manipulation by the root
2 herbivore to increase phloem-transport of leaf-assimilates for its own benefit, which is
3 known for parasitic root-feeding nematodes (Caillaud *et al.*, 2008). It seems unlikely that
4 *D. virgifera* manipulates the plant's water balance to fend off aboveground competitors,
5 as this effect depends on environmental conditions and may not be very efficient against
6 non-lepidopteran leaf-feeders. Therefore, the results suggest that the increase in leaf-
7 resistance is neither intentionally initiated by *D. virgifera* nor by its host-plant, but rather
8 the indirect result of their intimate interaction and the physiological struggle of the plant
9 to optimize its chances of surviving the attack.

11 **Conclusions**

12 Root attack by *D. virgifera* has a profound impact on the shoot physiology of
13 maize plants, thereby causing enhanced resistance against aboveground herbivores. The
14 most important effect leading to this change in resistance is the water stress imposed by
15 the root-herbivore. Herbivore-induced hydraulic changes and the subsequent tolerance
16 response of the plant should be considered as an additional factor contributing to a
17 systemic increase in plant resistance.

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10

11

1 **Figure legends**

2 *Figure 1: Root herbivore induced resistance in the field and the laboratory. (a):* Average
3 percentage of damaged leaves per plant (+SE) in uninfested plots (closed bars) and plots
4 infested with *D. virgifera* (hatched bars). **(b):** Average number (+SE) of longitudinal
5 (left) and shotgun holes (right) per plant. **(c):** Average cumulative growth (\pm SE) of *S.*
6 *littoralis* caterpillars over 10 days of feeding on plants infested with *D. virgifera* in the
7 roots (white circles) or uninfested control plants (black circles) in the laboratory. Stars
8 denote significant differences (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$). **(d):** Total numbers of *S.*
9 *littoralis* caterpillars reaching the pupal stage (black) or dying (white) on infested vs.
10 uninfested plants. Different letters indicate significant differences between treatments
11 ($p < 0.05$).

12
13 *Figure 2: Influence of water supply and belowground feeding site on root herbivore*
14 *induced shoot resistance. (a):* Average weight gain (+SE) of *S. littoralis* larvae after 6 h
15 of feeding on *D. virgifera* infested (white points) and control plants (black points) under
16 different water regimes. Saturation= Soil drench (48h); 10 ml/day= 10 ml H₂O per day
17 (48h); Residual= No watering (48h). Stars denote significant differences (* $p < 0.05$,
18 ** $p < 0.01$, *** $p < 0.001$). **(b):** Average relative water content (+SE) of maize shoots
19 infested in the roots with *D. virgifera* (black points) and control plants (white points)
20 under different water regimes. **(c):** Average weight gain (+SE) of *S. littoralis* larvae after
21 6 h of feeding on control plants (filled bars), plants infested with *D. virgifera* confined to
22 the upper 2cm of the soil (hatched bar) or the lower part of the roots (crossed bar). All
23 plants received 10/ml of water per day. **(d):** Average shoot water contents (+SE) of plants

1 infested with *D. virgifera* on upper and lower parts of the roots. All plants received 10/ml
2 of water per day. Different letters indicate significant differences between treatments
3 ($p<0.05$).

4

5 *Figure 3: Influence of root herbivory and water stress on shoot phytohormone levels and*
6 *defense gene expression. Average shoot concentrations (+SE) of JA (a), JA-Ile (b), SA*
7 *(c) and ABA (d) upon root stress are shown. Hatched bars stand for *D. virgifera* infested*
8 *roots. The left bars (white and black) show concentrations for well-watered plants, while*
9 *the right bars (grey) stand for plants with low water supply. Different letters indicate*
10 *significant differences between the treatments ($p<0.05$). Significance levels are also*
11 *shown for two-way ANOVAS (T=Herbivore infestation; W= Water treatment; TxW=*
12 *Interaction). Stars denote significant ANOVA effects (* $p<0.05$, ** $p<0.01$, *** $p<0.001$).*
13 *(e): Expression levels (Ln fold change +SE relative to well-watered controls) of defense*
14 *marker genes upon stress treatments. Different letters indicate significant differences*
15 *between the treatments ($p<0.05$).*

16

17 *Figure 4: Influence of root herbivory on C/N ratios and free amino acids. (a): Average*
18 *C/N ratios (+SE) of maize shoots infested in the roots with *D. virgifera* (hatched bar) and*
19 *control plants (black bar) under normal water supply. Different letters denote significant*
20 *differences between treatments ($p<0.05$). (b): Average concentration of 17 free amino*
21 *acids (+SE) in root stressed plants. Hatched bars stand for *D. virgifera* infested roots.*
22 *The left bars (white and black) show concentrations for well-watered plants, while the*
23 *right bars (grey) stand for plants with low water supply. Significance levels are shown for*

1 two-way ANOVAS (T=Herbivore infestation; W= Water treatment; TxW= Interaction).
2 Stars denote significant ANOVA effects (*p<0.05, **p<0.01, ***p<0.001).

3

4 *Figure 5:* The role of the ABA-biosynthesis gene *Zm-nced(vp14)* on root-herbivore
5 induced shoot defenses. Wildtype (*wt*) and antisense lines (*asNCED(vp14)*) were tested
6 under low water supply. **(a):** Ln fold change (+SE) of defense marker genes for the
7 different treatments and genotypes. Significance levels are shown for two-way ANOVAS
8 (T=Herbivore infestation; G= Genotype; TxG= Interaction). Stars denote significant
9 ANOVA effects (*p<0.05, **p<0.01, ***p<0.001). Average weight gain (+SE) of *S.*
10 *littoralis* larvae after 6 h **(b)** and 12 h **(c)** of feeding on *D. virgifera* infested (hatched
11 bars) and control plants (black bars) is shown. Different letters indicate significant
12 differences between treatments (p<0.05).

13

14

1 **Supplementary figure legends**

2 *Figure S1:* Influence of root herbivory on short-term growth and consumption of *S.*
3 *littoralis*. Average growth **(a)** and leaf consumption **(b)** of *S. littoralis* caterpillars (+SE)
4 over a 6 hour feeding period on control (black bars) and root herbivore infested plants
5 (hatched bars). Different letters denote significant differences between treatments
6 ($p < 0.05$).

7

8 *Figure S2:* Influence of water stress and root herbivory on root biomass. Average root
9 dry weight (+SE) of control plants (black circles) and root herbivore infested plants
10 (white circles) on plants grown under different water regimes. Significance levels are
11 shown for a two-way ANOVA (T=Herbivore infestation; W= Water treatment; TxW=
12 Interaction). Stars denote significant ANOVA effects (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$).

13

14 *Figure S3:* Growth of *D. virgifera* confined to different parts of the root system. Average
15 weight gain (+SE) is given for **(a)** *D. virgifera* larvae feeding on the top 2 cm vs. the rest
16 of the root system, **(b)** *D. virgifera* larvae feeding on the bottom 2 cm vs. the rest of the
17 roots above. Different letters denote significant differences between treatments ($p < 0.05$).

18

19 *Figure S4:* Influence of root herbivory on leaf phytohormones and defense gene
20 expression. Average shoot concentrations (+SE) of JA **(a)**, JA-Ile **(b)**, SA **(c)** and ABA
21 **(d)** upon root stress are shown. Hatched bars stand for *D. virgifera* infested roots.
22 Different letters denote significant differences between treatments ($p < 0.05$). **(e):** Ln fold

1 change (+SE) of defense marker genes in the leaves of root herbivore infested plants
2 (hatched bars). Stars denote significant differences (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$).

3

4 *Figure S5:* Influence of plant genotype on root biomass removal by *D. virgifera*. Average
5 root fresh weight (+SE) of wildtype plants (black bars) and *asNCED(vp14)* plants
6 (hatched bars) infested with *D. virgifera*.

7

8 *Figure S6:* Impact chemical ABA inhibition on root herbivore induced resistance and
9 water contents. **(a):** Average relative water content (+SE) of maize shoots infested in the
10 roots with *D. virgifera* (crossed bars) and control plants (black bars) with and without
11 ABA inhibition under normal water supply. Different letters indicate significant
12 differences between the treatments ($p < 0.05$). **(b):** Average weight gain (+SE) of *S.*
13 *littoralis* larvae after 6 h of feeding on *D. virgifera* infested (crossed bars) and control
14 plants (black bars) in untreated plants (Control) and plants treated with an ABA inhibitor
15 (Na_2WO_4). Significance levels are shown for two-way ANOVAs (T=Herbivore
16 infestation; I= Inhibitor treatment; TxI= Interaction). Stars denote significant ANOVA
17 effects (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$).

Figure 1

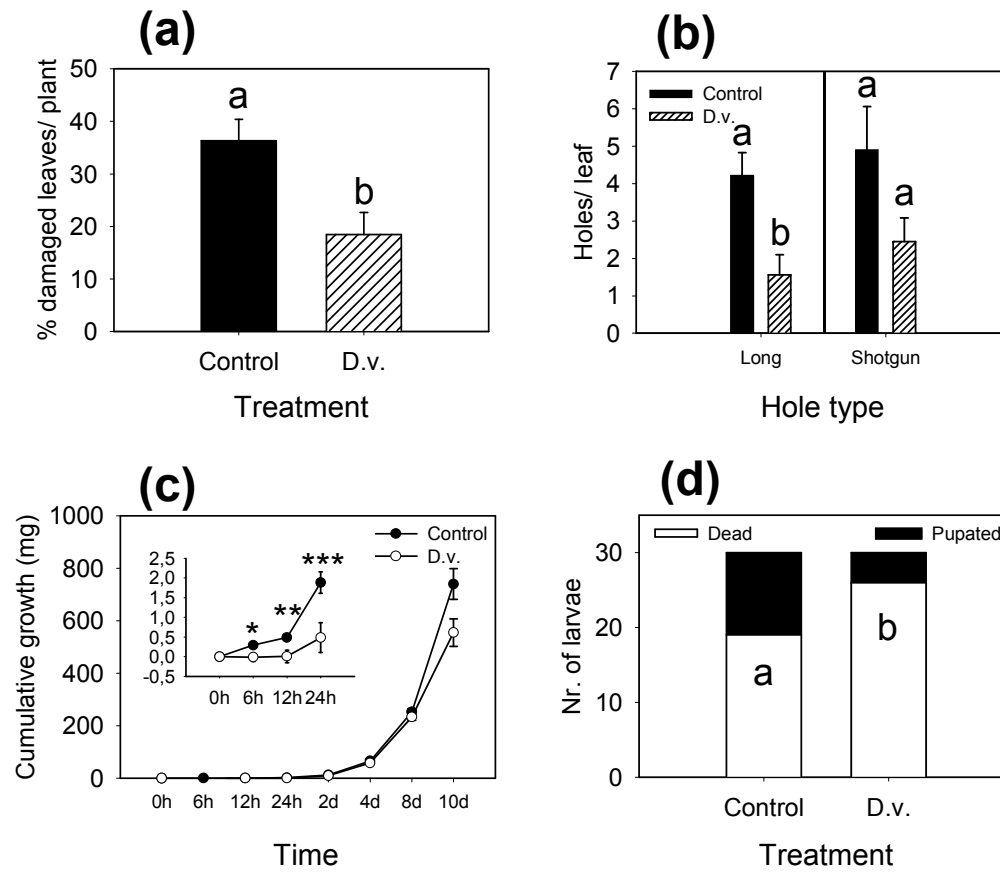


Figure 2

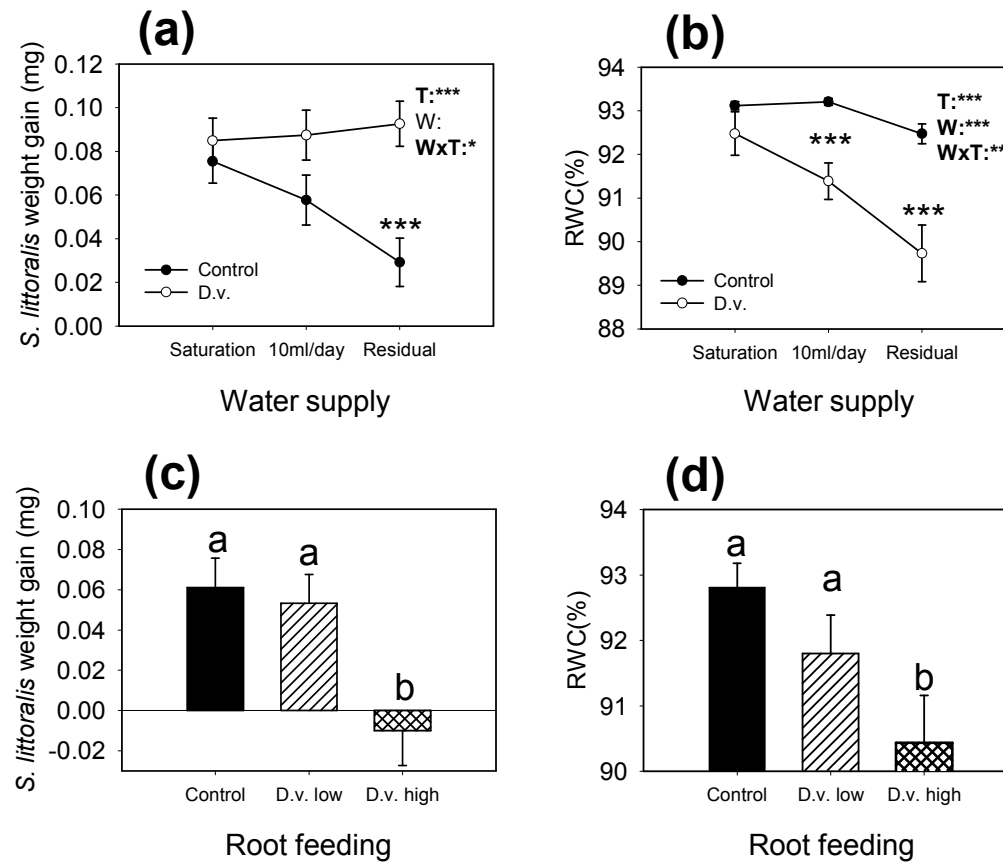


Figure 3

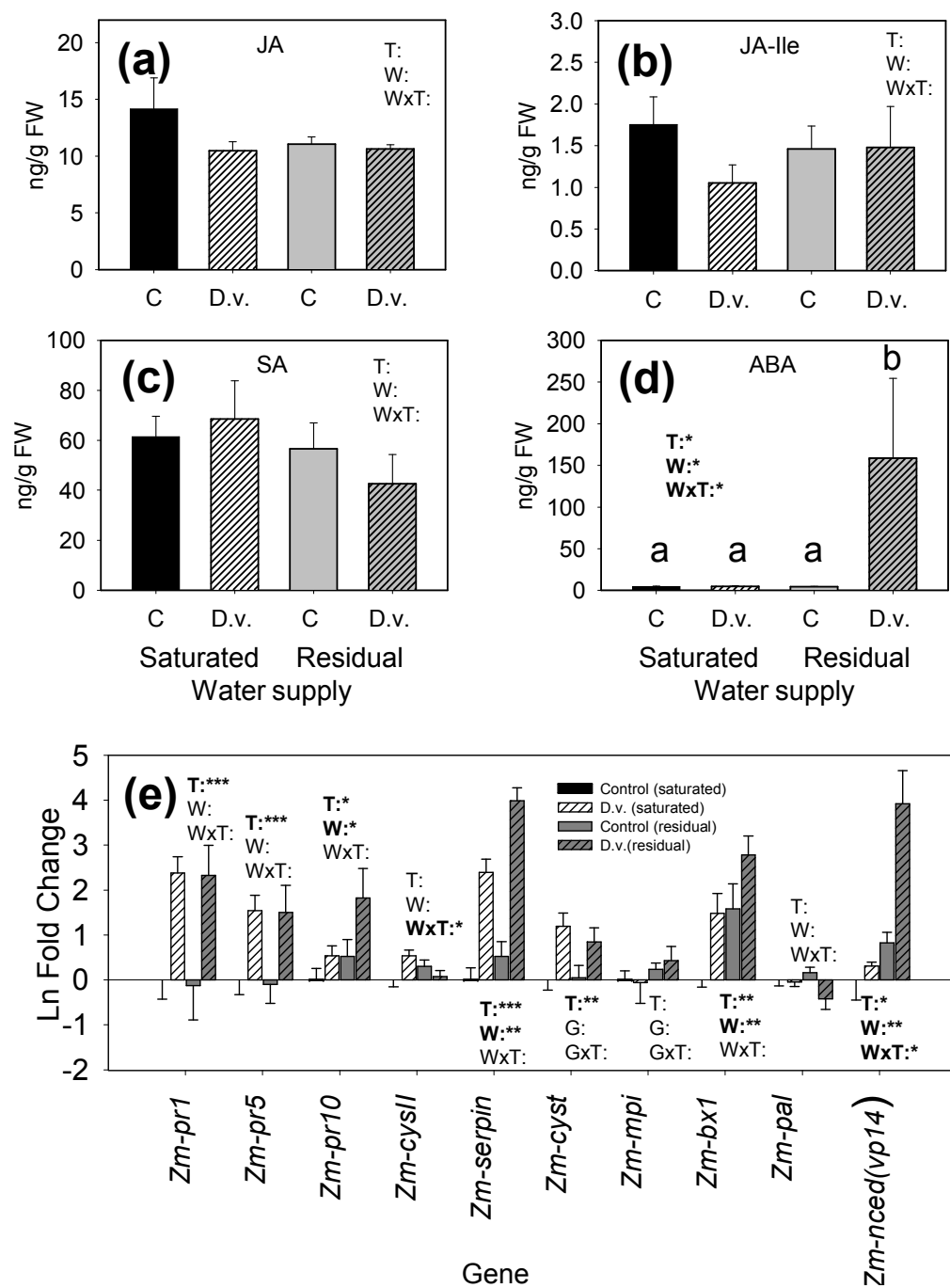


Figure 4

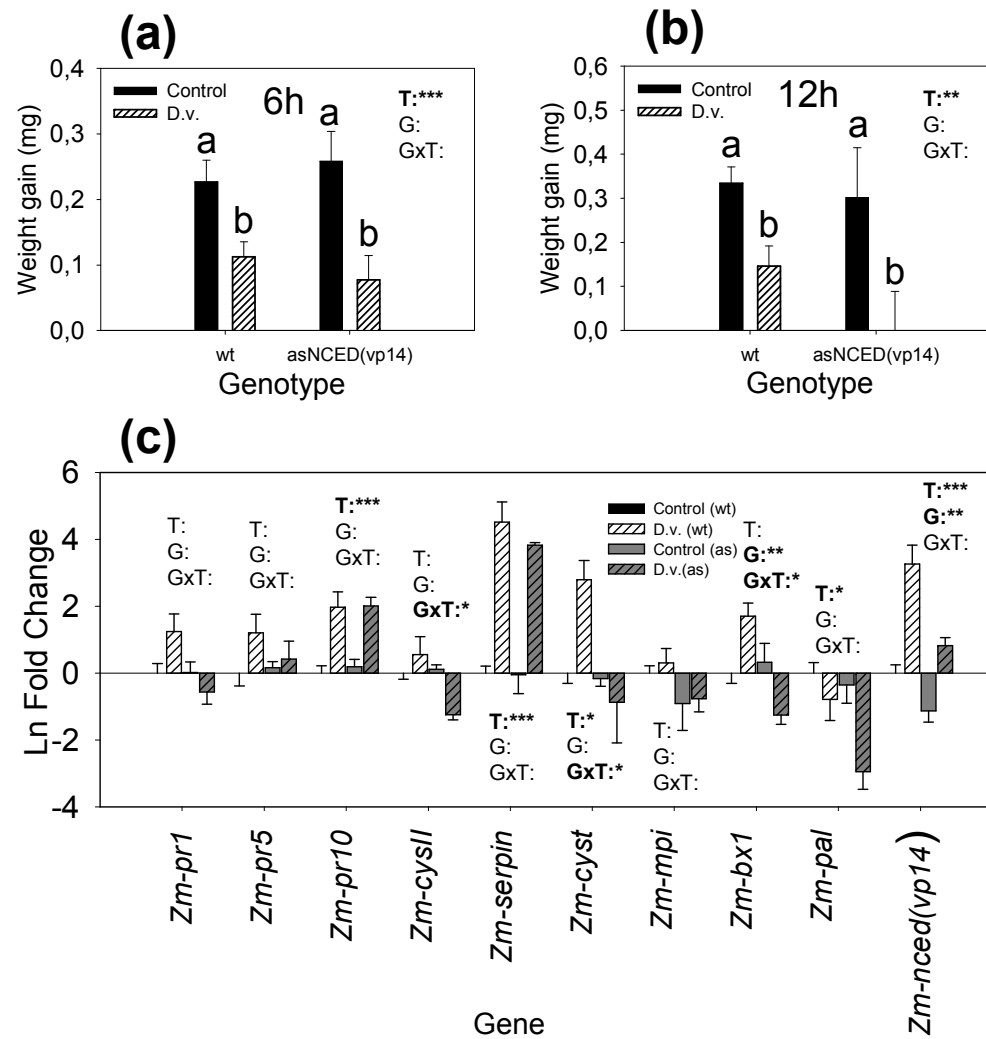


Figure S1

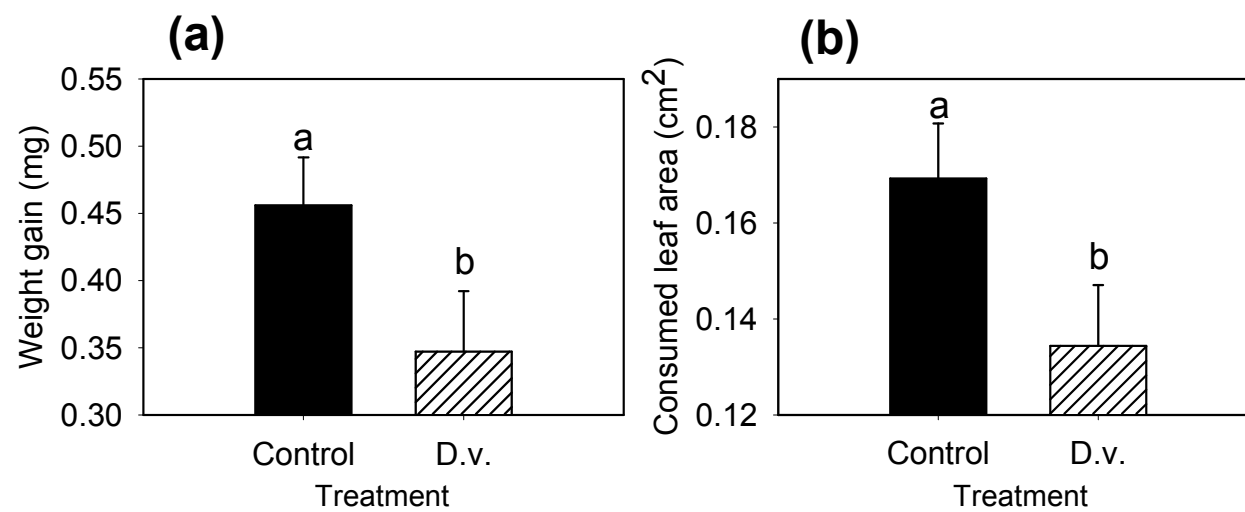


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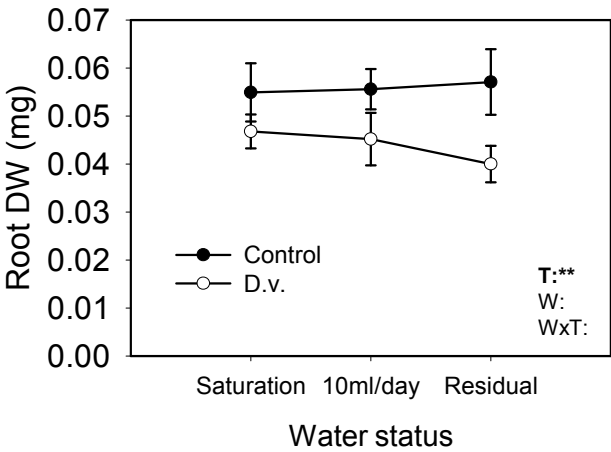


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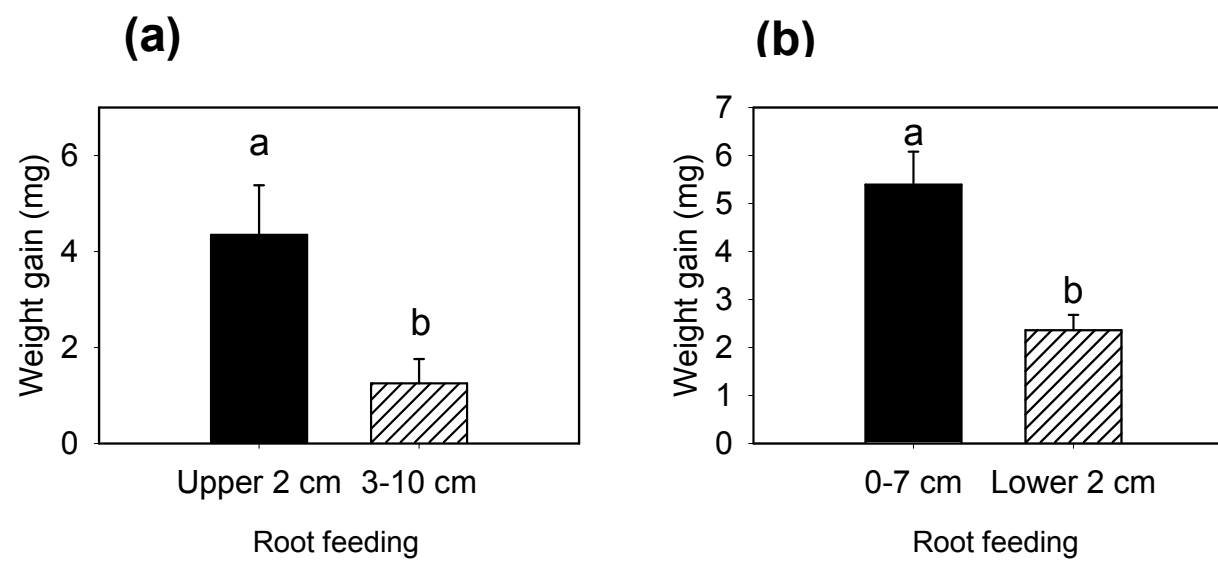


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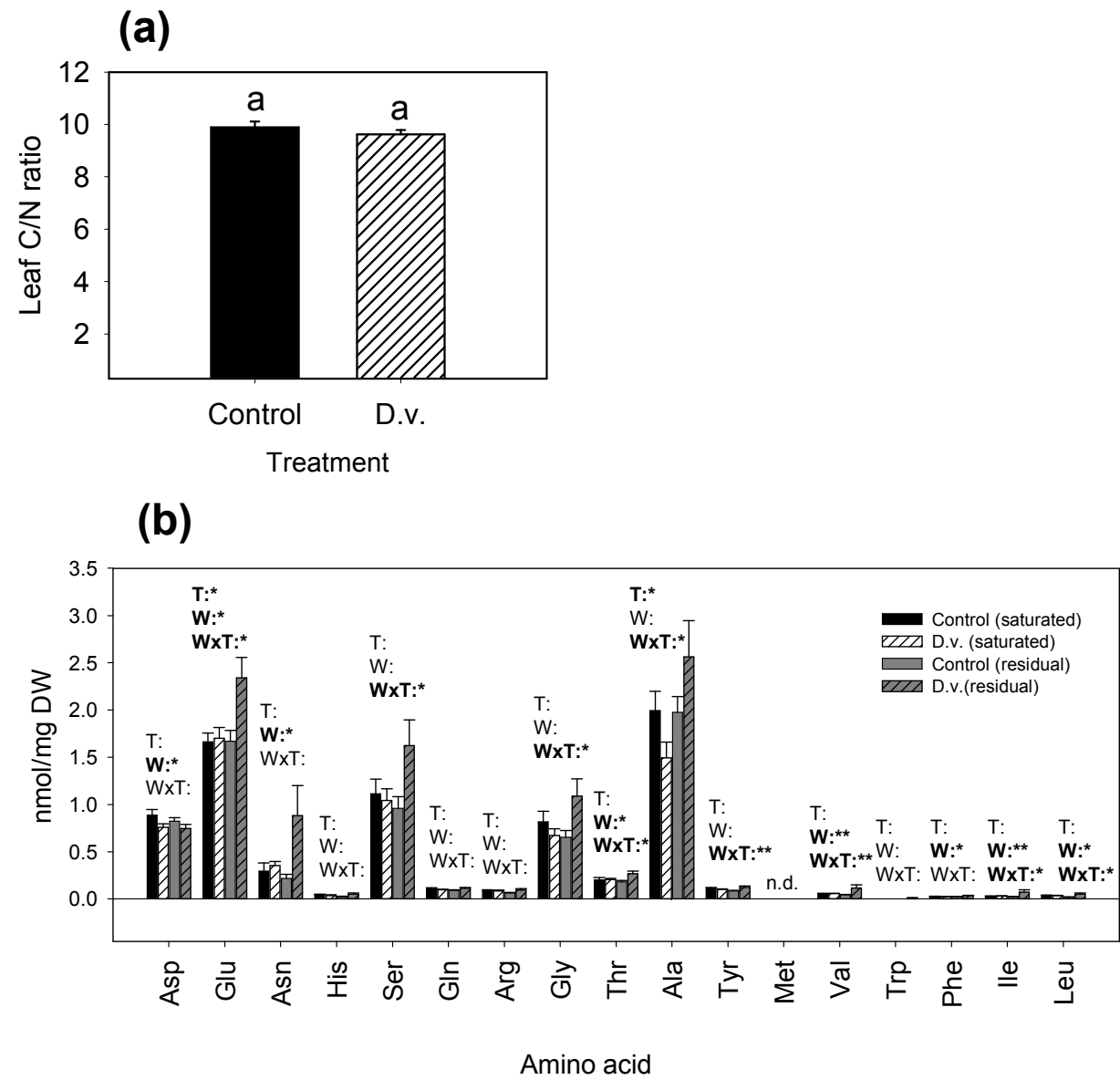


Figure S5

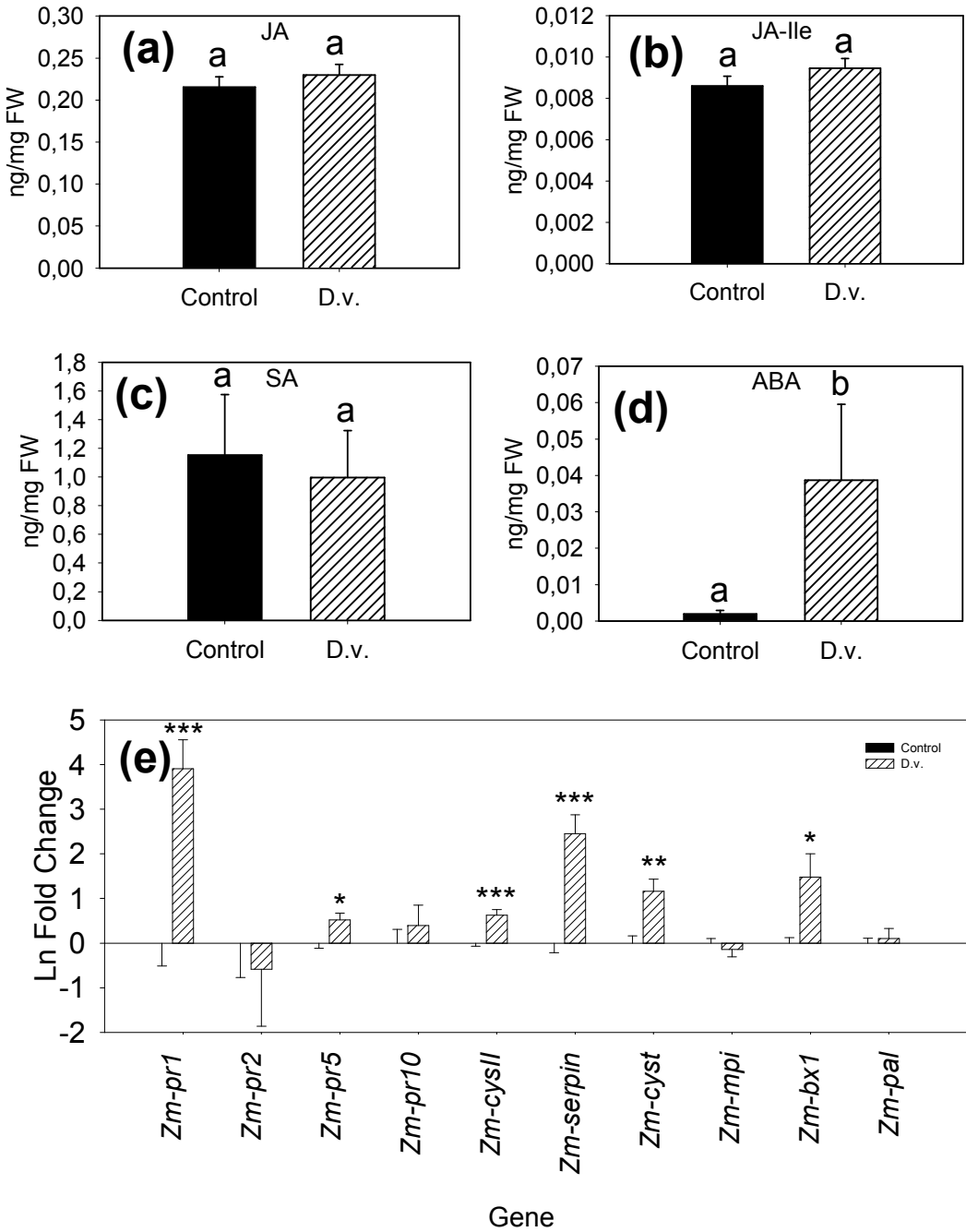


Figure S6

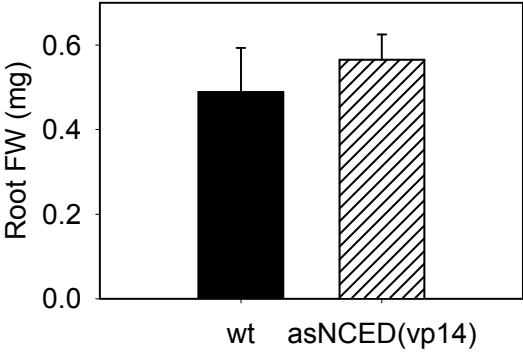


Figure S7

